#### ARTICLE

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# Scenarios for Australia in 2050: A Synthesis and Proposed Survey

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### Abstract

Australia

We reviewed a broad range of scenarios of the future developed for Australia and globally and developed a synthesis for Australia. Our four synthesis scenarios were structured around two axes: (1) individual vs. community orientation and (2) whether biophysical limits are binding on continued GDP growth or could be overcome with technology. While global scenarios have explored transformational or collapse futures, very few scenarios at the national scale for Australia have done so. Australian scenarios have also not articulated positive futures that are very different from the status quo. We have addressed this gap. We describe each scenario in tabular and summary form. We also developed a public opinion survey to be used to involve Australians in ranking the scenarios and thinking about the future they want. This extension of scenario planning is novel and we hope to employ it to improve thinking, discussion, and policy about Australia's future.

Keywords: Keywords

### Background

"To deal with the future we have to deal with possibilities. Analysis will only tell us 'What is'." ~ Edward de Bono, Parallel Thinking

Predicting the future is impossible, but laying out a series of plausible future scenarios is possible. These scenarios can enable the understanding of future possibilities or storylines and also clarify the complex uncertainties surrounding them (Nicholls et al., 2011). They have become an important way to inform decision-making with a whole-system perspective under uncertainty.

'Scenario' is a term with multiple meanings. Scenario exercises vary in their objectives and hence their characteristics (Biggs et al., 2007; Nicholls et al., 2011). We define scenario analysis or scenario planning as a structured process of exploring and evaluating alternative futures. Scenarios are essentially stories that consider how alternative futures may unfold from combinations of highly influential and uncertain drivers, and their interactions with more certain driving forces (O'Brien, 2000).

Scenario planning differs from forecasting, projections, and predictions in that it explores plausible rather than probable futures (Peterson et al., 2003). Although aspects of the future worlds depicted by scenarios may come to eventuate, these worlds are often best viewed as caricatures of reality from which we can learn.

Scenario planning is based on four assumptions (DTI, 2003):

- 1. The future is unlike the past, and is significantly shaped by human choice and action.
- 2. The future cannot be foreseen, but exploring possible futures can inform present decisions.
- 3. There are many possible futures; scenarios therefore map within a 'possibility space'.
- 4. Scenario development involves both rational analysis and creative thinking.

Scenarios are best suited to exploring situations of high uncertainty and low controllability (Peterson et al., 2003); for example, climate change and global governance are largely beyond the control of a particular region. In these situations, scenarios can help to illuminate the consequences of these uncontrollable forces and to formulate robust responses locally. Importantly, scenarios can help to reveal policy and value changes that may be required, and key branching points at which such changes can most affect outcomes (Gallopín, 2002).

Several scenario-planning exercises have been conducted in recent years at a range of spatial scales and for a range of purposes, including: global futures (Gallopin et al., 1997; Nakićenović and Swart, 2000; Raskin et al., 2002; Millennium Ecosystem Assessment 2005), regional futures (European Environmental Agency 2009; Bohensky et al., 2011), corporate strategy (Wack, 1985; Shell International, 2003), political transition (Kahane, 1992, 2004) and community-based natural resource management (Wollenberg et al., 2000; Evans et al., 2006). For example, one of the best-known scenario planning exercises on the global level is the Special Report on Emissions Scenarios (SRES) (Nakićenović and Swart, 2000). These scenarios have been widely used to study the potential impacts of future climates, especially within the Intergovernmental Panel on Climate Change (IPCC) process. The SRES scenarios are based on four global "storylines" (termed the A1, A2, B1, and B2 worlds, respectively) representing different world futures based on two distinct axes or dimensions: (1) economic versus environmental concerns, and (2) globalised versus regional/national-based development patterns. These two axes define four distinct quadrants for future development. This two axis approach to scenario design is a popular one, as our review discussed below points out, and an approach we eventually adopted for our scenarios.

To develop scenarios useful for policy development in Australia, we needed:

- 1. A review of existing scenarios, at both the global and national levels, to learn how others have sought to characterise the space of possible futures relevant to Australia and ensure that new scenarios built on that past work.
- 2. An initial set of scenarios not intended to be 'complete', but rather a starting point for ongoing development.
- 3. The involvement of a wider audience in reviewing the scenarios and eliciting insightful rankings, responses, and changes to them.

In this paper we outline our approach to each of these three areas – review of scenarios, initial scenarios, and survey development – and first steps in each. We do so in reference to a call for a national conversation among Australians about their collective futures, encouraged by the Australian Academy of Science 'Towards living Scenarios for Australia' project (Raupach et al, 2012; Cork et al, 2014; Alford et al, 2014).

### **Review of existing scenarios**

We reviewed existing scenarios that have been developed for Australia and the world (Table 1), with the aim of informing an initial set of scenarios about Australia's possible futures. The intention was that such scenarios could be used to encourage dialogue among Australians regarding what futures they would prefer, how those preferences might differ between individuals and groups, and what the basis of those differences might be. We wanted our scenarios to encompass previous thinking about Australia's futures, by drawing common threads that embody the range and depth of existing scenarios. The hypothesis was that we would find a fairly high degree of convergence around a set of themes (Raskin et al., 2002; Hunt et al., 2012).

The most commonly-used processes for developing scenarios of plausible futures include: a focus on some specific aspects of the future (e.g. energy production and consumption); consideration of the factors that might affect those aspects; assessment of what is relatively certain and what is uncertain; exploration of the most critical uncertainties; and, consideration of what insights emerge and what actions might be relevant in the short, medium, and longer term to shape the future or prepare for threats and opportunities that might not be controllable (Schwartz, 1996; van der Heijden, 1996; Peterson et al., 2003; Scearce et al., 2004). There are a range of methods to identify and explore critical uncertainties, and these can lead to different themes and foci for scenarios (Curry and Schultz, 2009).

Since the 1970s, a complementary approach has emerged that seeks to synthesise and build on the sorts of approaches described above. It has been observed that the vast majority of scenarios developed around the world fall into a small number of types, or "archetypes" (Bezold, 2009; Curry and Schultz, 2009; Dator, 2012; Hunt et al., 2012). These archetypes range around the topics of growth, discipline/ restraint, transformation, and collapse narratives. By focussing on these archetypes, different groups such as communities, businesses, government agencies, and others can quickly generate dialogue about how such futures might emerge, what they might look and feel like, and what the implications might be (Candy et al., 2006; Australian Academy of Science, 2013; Cork et al., 2013; Raupach et al., 2013). A range of critical uncertainties might be considered simultaneously in such exercises, with an emphasis of acknowledging and considering diverse understandings and viewpoints.

Our initial approach in reviewing previous Australian scenarios was to seek existing scenarios that consider the future of Australia at a national scale and to identify aspects in common with the set of scenario archetypes identified by Hunt and colleagues (Hunt et al., 2012): Market Forces (an economic and population growth archetype based on neoliberal free market assumptions); Policy Reform (a continuing growth but with discipline/restraint archetype based on assumptions about the need for government intervention and effective policy); New Sustainability Paradigm (a transformation archetype based on assumptions about limits to conventional GDP growth and more focus on environmental and social well-being and sustainability); and Fortress World (an archetype in which nations and the world become fragmented, inequitable, and head towards temporary or permanent social collapse).

We drew on several of the most substantial previous scenario analyses for Australia and the world (Table 1) with the aim of using these as a starting point for our own scenarios. In order to identify which Hunt et al (2012) archetypes are relevant to each scenario, our analysis included detailed consideration of what the published scenario processes had to say about a range of social, technological, environmental, demographic, economic, and political/legal aspects of Australian and global futures, as well as what could be inferred about a range of components of human well-being. For example, income and wealth distribution and its effects on social cohesion and well-being has been the subject of several recent studies (Wilkinson and Pickett, 2009; Piketty, 2014). In addition, the influence of the natural environment and the positive benefits it provides to humans (ecosystem services) has also been the subject of much recent research (Costanza et al., 1997, 2014). We wished to include these and other effects on overall social well-being and sustainability in the scenarios.

Table 1. *Key Australian and global scenarios and scenario-development processes drawn on in this project* (\*MF = Market Forces; PR = Policy Reform; NSP = New Sustainability Paradigm; FW = Fortress World)

Scenario set	Critical uncertainties or themes explored	Relevant archetypes*	
	AUSTRALIAN SCENARIOS		
Will She Be Right? (Kahn	-World economic development	MF, FW	
and Pepper, 1980)	-The future of the Asia-Pacific region		
Future of energy (Dunlop,	-Business as usual vs. emergence action	MF, NSP	
2012)			
Australia at the Crossroads:	-Business-as-usual policy of government	MF, NSP	
Our Choices to the Year	intervention in the economy vs. a more market-		
2000 (Kasper et al., 1980)	oriented economy (the latter seen then $-1980$ - as		
Agniro Austrolio (DCA	unlikely)	ME NOD	
Aspire Australia (BCA (Business Council of	-International competitiveness	MF, NSP, FW	
Australia), 2004)	-Australia's place in the regional and global order	ΓW	
Australia), 2004)	<ul> <li>Regional stability</li> <li>Sustainable development</li> </ul>		
	– Values and norms		
Australia's Strategic Edge	<ul> <li>– Governance and politics</li> <li>– What should be Australia's strategic edge in 2030?</li> </ul>	MF/PR, FW	
(Babbage, 2011)	What capabilities will give the level of strategic	WII / I IX, I W	
(Dubbage, 2011)	and campaign superiority that will be needed to		
	ensure Australia's security in 20 years time?		
Scenarios for Business	-International power and trade relationships	MF, PR, FW	
to the Year 2015 (GBN	-Access to commercial advantages of new	,,	
Australia, 2000)	technologies		
- instrumini, <b>2</b> 000)	-Future of globalisation		
Energy scenarios (Energy	-10 scenarios considering possible implications of:	MF, PR, FW	
Futures Forum, 2006)	• greenhouse gas emissions and climate change	, ,	
	• different combinations of energy technology and		
	different trajectories for the future		
	<ul> <li>public attitudes (especially relating to risks</li> </ul>		
	associated with large-scale technologies, desire		
	for energy security, and concern about the		
	resulting shape of society)		
Exploring Solutions to	-Extent, location and demand of water-using	PR, NSP	
Australia's Long-Term Land	industries (beef, horticulture, plantation forestry)		
and Water Problems Using	-Climate change influences on rainfall and water		
Scenario Analysis (Dunlop	demand		
et al., 2001)	–Urban water use per capita and interactions		
	between irrigation and urban		
	-Societal demand for environmental flows		
The High Road or the Low	-High investment in R&D and exporting	PR, MF	
Road: Alternatives for	technologies vs. a low wage economy, price-taking		
Australia's Future (Marceau	in the global economy, importing technologies and		
et al., 1997)	continued heavy economic dependence on natural		
Desision Deints for Lond	resources	DD MOD	
Decision Points for Land	-Different emphases on dryland versus irrigation in	PR, NSP	
and Water Futures (Dunlop	agriculture		
et al., 2002) Scenario Planning for	<ul> <li>More diverse and appropriate land uses</li> <li>Energy transition: Orderly vs. Disorderly</li> </ul>	MF, PR, NSP	
Land Use in the Namoi	-Climate warming: High vs. Moderate	1VII, I K, INOP	
Catchment (Cork and	-Governance: Effective vs. ineffective influence by		
Delaney, 2009)			
Delaney, 2009)	the region over its own future		

Future Makers, Future	-Economic and regulatory policies	MF, PR, NSP
Takers (Cocks, 1999)	-Attitudes to: markets, international trade and	, , ,
	environmental costs	
	-Benefits versus disbenefits of science and	
	technology.	
	-Forms of governance	
	-Cultural and demographic policies and attitudes	
Scenarios for Australia to	-Stability and rate of recovery of financial markets	MF, FW
2025 (Australian Workforce	-Trajectory of global mineral and energy resource	2
and Productivity Agency,	markets	
2012)	–Geopolitical stability and implications for trade	
)	and international relations	
Irrigation futures for	–Demand for agricultural products	MF, PR
the Goulburn Broken	-Government policy	,
Catchment (Robertson et	-Climate change	
al., 2007)	– Water markets	
u, = 007)	-Attitudes, lifestyles, and their influence on land use	
Austrade scenarios	-The fate of individual countries	MF, FW,
(Harcourt, 2001)	-Geo-political stability	NSP <sup>a</sup>
(Indebuilt, 2001)	-Changing trade relationships	1101
	-Quantum change in technology and its applications	
	-Social cohesion	
	-Safety nets and distribution systems	
Clever work (Saul, 1998)	-Nature of the economy and how people are valued	NSP
Clevel work (Saul, 1998)	-Value systems	1101
	-Social contract under which organisations are	
	created and allowed to operate in society	
	-Role of knowledge and intellectual property	
	-Nature of employment	
	– Welfare policy	
	-Leadership and governance	
Climate change adaptation	-Governance: Exclusive vs. Inclusive	MF, PR, FW,
(Low Choy et al., 2012)	-Community responsibility and involvement: Low	NSP
(Low Choy et al., 2012)	vs. high	1131
	GLOBAL SCENARIOS	
Visions of Alternative	-Technological Optimism vs. Scepticism	MF, PR,
(Unpredictable) Futures	-Real state of the world	NSP, FW
and Their Use in Policy		1101,1 W
Analysis (Costanza, 2000)		
Future Vision (Watson and	-Collectivism vs. Individualism	MF, PR,
Freeman, 2012)	–Pessimism vs. Optimism	NSP, FW
World Business Council for	-Market-driven growth, economic globalisation	MF, NSP
Sustainable Development	-Top-down vs. bottom-up approach to sustainability	IVII <sup>*</sup> , INDI
scenarios (World Business Council for Sustainable	-Alliances, innovation	
Development, 2000)	Econtial continuity	ME DD
Great Transitions scenarios	-Essential continuity	MF, PR,
(Raskin et al., 2002)	-Fundamental but undesirable social change	NSP, FW
Millowing E	-Fundamental and favourable social transformations	ME DD
Millennium Ecosystem	–World development: Globalisation vs.	MF, PR,
Assessment Scenarios (MA	Regionalisation	NSP, FW
(Millennium Ecosystem	-Environmental management: Proactive vs. reactive	
Assessment), 2005; Cork et		
al., 2006)		

UK Foresight Futures	-Social values: Individualistic vs. community-	MF, PR, NSP
(Office for Science and	oriented	
Technology, 2002)	-Governance: Interdependent vs. autonomous	
IPCC (Intergovernmental	-Relative orientation toward:	MF, PR,
Panel on Climate Change,	economic or environmental concerns	NSP, FW
2000)	global and regional focus	
Climate Futures (Forum	-The direct impacts of climate change	MF, PR,
for the Future and Hewlett	-Attitudes to climate change	NSP, FW
Packard Labs, 2008)	- The business response	
	-The global economy	
	-Resources	
	- The political response	
	-Technology	

This assessment showed that relatively few scenarios have been created that focus on the Australian national scale and that these have been mainly focused on Market Forces and Policy Reform types, with little consideration of transformational or collapse futures (Table 1).

### Four scenarios for Australia

Living scenario processes require a starting point – initial scenarios that a wide audience can respond to and hence inform ongoing scenario discussion and evolution. Our review of existing scenarios informed the content of the scenarios, but there was no easy, straightforward path to synthesis. Ultimately, we used our judgement, experience, and knowledge of existing scenario exercises to develop our four synthesis scenarios for Australia. We also needed to have scenarios that could be presented in multiple ways that are accessible to diverse audiences. For example, some prefer to read creative narratives while others prefer concise lists of points. Both were developed for the purpose of communicating with the Australian public. For brevity, only a comparison table and brief summary descriptions are included here. Longer narrative descriptions and other creative ways of describing the scenarios are being developed for the project website and the ultimate public opinion survey.

In developing initial scenarios, we chose two dimensions that underpin typical scenario archetypes and also are prominent topics in public discourse about Australia's future: (a) whether individual or community interests are prioritised; and (b) assumptions about the ability of technological progress to overcome biophysical limits (e.g. climate, water, energy availability) to conventional economic growth (GDP). This last is an uncertainty and a key limiting factor that might prevent two of the archetypes from coming to pass (Costanza, 2000). We assumed that population growth rates in Australia would be similar and moderate in all four scenarios. While population growth is a huge and controversial issue at the global scale, Australia is still rather lightly populated and we don't expect major differences in population growth among the scenarios to 2050.

The four scenario names we settled on to describe the four options these axes create are: Strong Individualism; Community Wellbeing; Free Enterprise; and Coordinated Action (Figure 1)

Limits O Economic (GDP)	vercome: Growth Continues
Free Enterprise The market knows best Inequity not addressed	Coordinated Action We need planning and government Equity maintained
Individuals —	———— Community
Strong Individualism Everyone for themselves Limited Governance	Community Well-Being We're all in this together Governance at many levels Stewardship and sharing
Limits F	Rinding

Limits Binding: Economic (GDP) Growth no longer possible

Figure 1. Axes and their resulting scenarios.

#### Horizontal axis: Individual vs community orientation

A common theme across scenarios is the question of whether individual or collective (community) interests are given priority. Hence, we chose this as one of the key attributes for partitioning the space of possible futures in our choice of four scenarios. Sividas et al (2008) report:

"The construct of individualism-collectivism expresses the distinction between prevalent cultural orientations that value the importance of an individual versus those that value group harmony. People with individualist values tend to see themselves as independent of others and generally behave according to personal attitudes and preferences, whereas people with collectivistic values see themselves as interdependent with others and usually behave according to social norms (Triandis, 1995). In individualistic societies, personal goals take precedence over in- group goals, whereas in collectivist societies, in-group goals take precedence over those of the individual, with personal goals secondary. That is, individualistic societies are "me"-oriented and collectivist societies are "we"-oriented."

Sividas et al (2008) go on to provide a more nuanced analysis to provide a measure for characterising individualistic/collectivist and horizontal/vertical dimensions, where the horizontal/vertical dimension captures preferences for hierarchical versus egalitarian horizontal relationships.

The four scenarios relate to the individualism/collectivism constructs as follows. Individual autonomy is prioritised in the "Free Enterprise" and "Strong Individualism" scenarios. In the "Free Enterprise" scenario, strong market

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growth and technological advances are to serve individual interests. In "Strong Individualism", one of the limited roles of the small national government is to protect individual property rights, and otherwise "get out of the way" of what individuals want. Both "Community Wellbeing" and "Coordinated Actions" prioritise collective interests, but do so in different ways, drawing on the horizontal/ vertical differences mentioned above. "Coordinated Action" has hierarchical, top-down planning, and regulation to protect collective interests, while "Community Wellbeing" prioritises collective interests via egalitarian, horizontal structures.

#### Vertical Axis: Technological Limits to Growth

The concept of "limits" was a helpful one in interpreting existing scenarios and developing our own. Several aspects are involved in any consideration of limits. Where a biophysical limit exists, are there technical or social mechanisms for anticipating, acknowledging, and taking steps to overcome that limit? Or is the limit binding in the sense that technical solutions are ultimately impossible or counterproductive? In particular, we are concerned with whether there are technical, social, or environmental limits to continued growth of GDP. Many of our current policies are based on a vision of continued GDP growth, but this may not be possible. It also may not be desirable given the external environmental and social costs of GDP growth. This uncertainty forms the basis for our second axis.

For example, many cities in Australia were placed under water restrictions during years of drought. In Canberra, for example, dam levels were declining, there were strong regulatory and communication mechanisms in place, and Canberra residents and businesses responded by adjusting and cooperating with water restrictions. Alternatively, are there options for expanding limits? The response to water supply issues in cities such as Perth included building desalination facilities. and in the years after the drought Canberra has constructed a larger dam. Choices to impose water restrictions are interpreted as choosing to live within a limit, whereas choices to build a new dam or install desalination plants are about (temporarily) expanding limits. The question is: can this continue indefinitely? Technological optimists assume that any biophysical or social limits we encounter to continued growth of GDP will be overcome. Technological sceptics believe that there are limits to technical change that will ultimately slow down or stop growth in GDP (Costanza, 2000). The point is that we will not know the answer to this question until after the fact, and it thus creates one of the key uncertainties about the future that our scenarios are structured around.

There are other limits that do not deal with "running out" of material resources like water or energy, but with the absorption of human impacts on the Earth system. In the case of a safe climate, the issue is not the exhaustion of a material stock but the concentration of greenhouse gases in our atmosphere. This limit can still be framed in a similar way. The IPCC 5th assessment report uses the transient climate response to cumulative carbon emission (TCRE) as a useful way to define a budget of cumulative emissions to keep global average air surface temperature change below 2 degrees C (IPCC, 2013). This is a useful framework for designing and implementing mechanisms that limit total emissions to stay within an agreed budget, acknowledging that the global population would readily use far more without such mechanisms in place. The concept of "planetary boundaries" or a "safe operating space for humanity" map out some other biophysical limits that define requirements

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for a sustainable human presence in the biosphere (Rockstrom et al., 2009).

In each scenario we considered whether assumptions about social and technological innovation recognised limits or assumed that any limits could be overcome. Examples of living within limits include developing the social and technical infrastructure for regulating, allocating or sharing water, emissions quotas, population control, coastal development, land-use change, and biodiversity loss. Examples of overcoming limits include developing infrastructure for desalination, bigger dams, increased intensity of land use through chemical fertilizer use, and ecosystem change. It is clear that on a finite planet, continued economic growth will require absolute de-coupling of growth from resource and sink constraints. The uncertainty about whether this is possible or desirable is the essence of this axis (Costanza, 2000).

There is an element of social construction to all limits. What is experienced as "water-limited" existence in a city like Canberra would be considered a "waterabundant" lifestyle in many desert communities. Notions of "enough" space in urban dwellings differ vastly between residents of Canberra and Tokyo. In various scenarios for the future, what flexibility do we exercise in our perception of what it is to be experiencing limits? There is also growing attention being paid to social limits, such as levels of inequality that trigger civil disobedience or the lack of access to education or health care. These social human rights provide an essential foundation to the well-being of individuals and populations (Wilkinson and Pickett, 2009). Thus, the "limits binding" end of the vertical axis in Figure 1 includes futures in which humans anticipate limits and act accordingly before limits are encountered as well as futures in which limits are encountered and require humans to respond.

The four scenarios do not explore all these aspects of limits, and instead the primary focus is on whether economic growth is prevented or not by limits. In the "Free Enterprise" scenario there is an emphasis on technological or market-driven responses to expand the limits and for economic growth to be an ongoing priority. It doesn't mean biophysical limits do not exist, only that technology is assumed to be able to overcome them and minimise the degree the population feels limited by them within a 2050 timeframe. In many countries today this is the dominant underlying vision of the future.

The "Community Wellbeing" scenario places an emphasis on anticipating and accepting biophysical limits and better regulating and/or sharing access to resources and opportunities. The "Coordinated Actio" scenario uses centralised top-down planning, regulation, and investment in technology (with citizen input) to overcome limits in a way that allows continued economic growth, but growth that is more equitably shared. "Community Wellbeing" explores an alternative approach to governance that emphasises polycentrism and subsidiarity, and does not make economic growth an overriding goal or requirement, but rather focuses on equity and quality of life. In the "Strong Individualism" scenario some crucial limits have been reached that cannot be overcome, but the emphasis on individuals in this scenario triggers an "everyone for themselves" response with limited government interference.

### Scenario comparisons

All four scenarios have different driving forces and sequences of events that

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shape the path to that future. For survey participants to consider future scenarios, review the choices, and express preferences we provide a common set of basic attributes for comparison between the scenarios. The summary presents the underlying drivers and sectoral trends for each scenario so that survey participants can compare the trade-offs between the scenarios and their respective attributes and limitations (Table 2). The first two attributes in Table 2 – individualism/collectivism and limits – are the primary attributes used to partition the space of possible futures.

Scenario Name:	Strong Individualism	Community Wellbeing	Free Enterprise	Coordinated Action
Orientation	Individualism	Community	Individualism	Community
Limits	Limits binding: Economic growth no longer possible	Limits binding: Economic growth no longer possible	Limits overcome: Economic growth continues	Limits overcome: Economic growth continues
Governance				
Structure	Strong, small government focussed on national protection and individual property rights	Strong government at global to local scales, polycentric (not hierarchical)	Minimal national government, regional corporations	Strong global and national government
Democracy	Limited regulations, everyone for themselves	Strong citizen participation, pluralism	The market is the main vehicle for democracy	Compulsory participation in democratic processes
Policy	Minimal policy, enabling markets	Strong globally and locally coordinated policies	Minimal policy, enabling markets	Comprehensive global and national policy
Economy			·	·
Economic growth	Highly variable across individuals and sectors, low growth on average	Stable, no growth economy	Strong growth on average, but not for all - growing inequity	Stable economic growth
Key Sectors	Military and construction	Health, education and service sectors	Energy and specialist manufacturing	Biotechnology, energy, communications and health
Community				
Identity	Nation and individual	Global and community	Nation and individual	Global and community
Equity and security Individual We	Inequity, security controlled by military	Equity and security prevails, high levels of trust	Growing inequity, some conflict over access to benefits of economic growth	Equity and security enabled by government

Table 2. Attributes of the four scenarios.

Health and	Inequality in access	High priority,	Inequality	Healthcare
education	to health and	provided through	in access to	and education
	education	public system	privatized health	regulated by
			and education	government
Natural Envir		r		
Environment	Biodiversity and	Biodiversity	Biodiversity	Biodiversity
	ecosystems decline	and ecosystems	and ecosystems	and ecosystems
		improve	decline	improve
Greenhouse	Emissions decline	Emissions	Strong emissions	Emissions
gas emissions	due to less	decline due to	growth, and	decline due
	consumption	less consumption	growing market	to strong
			for climate	regulations
			adaptation	mandating
			measures	emission
				reduction targets
Built Infrastru	icture			
Growth	Medium-Low	Low	High -Medium	Medium-High
Tradeoffs	Reduced	Collective goals	Economic growth	Strong
	constraints	and equity at	and wealth at	international
	and large	the expense	the expense	and domestic
	opportunities	of personal	of equity and	cooperation
	for individuals	wealth and	environmental	at the expense
	at the expense of	consumption	quality	of individual
	community and	-		and national
	environmental			autonomy
	quality			

The following summary scenario descriptions expand on the attributes listed in Table 2. Ultimately, we intend to produce a website with these and other ways of describing the scenarios as the basis for the public opinion survey.

### **Summary Scenario Descriptions**



Limits Binding GDP Growth no longer possible

### Strong Individualism

#### Scenario Drivers

The combination of environmental shocks, resource-related limits and conflict and general political unrest and terrorism effectively remove the continuing viability of a global economy. Australia removes itself from the global economy early while retaining bilateral bargaining power with key exports markets.

Australia remains relatively resilient to the global shocks by turning to increasing protectionism and individualism.

Australians have a strong emphasis on individual and family life and need to rely on themselves in terms of health, education and other services. Outside of family, society is highly competitive and has low levels of trust and cooperation.

#### Tradeoffs

Reduced constraints and increased opportunities for some Individuals at the expense of community and environmental quality

#### Governance

- · Strong military presence controlling national legal issues and boder security
- Small 'Executive Council' at the national level to protect and promote national soverengty sovereighty
- Limited governance at lower levels
- Minimal taxes and regulations

#### Economy

- Uneven unstable economy
- High import tariffs are key source of revenue
- · Military is an important part of the domestic economy
- Unregulated informal economy in media, service, artisan and trade sectors

#### Community

- · Highly individualistic and competitive society with low level of trust
- · Limited physical connectivity; virtual connectivity given priority
- Low population growth and limited immigration
- · Military binds disparate regions together for national symbolism and security

#### Individual Well-being

- Inequality in access to health and education
- · Long work hours for the employed combined with high unemployment

#### Natural Environment

- · Biodiversity declines; Emission reduction due to reduced consumption and adaption in agricultural sector
- Unregulated land use to allow mitigation and adaptation to climate change impacts
- Water scarcity and limited natural resources

- Technology plays a critical role in the agricultural sector
- Transport sector declines due to resource scarcity and absence of new technology
- Abandoned urban sprawl areas reclaimed for diversified economic activities



# Community Wellbeing

#### Scenario Drivers

Successive global financial crisis, significant extreme weather events and Australia not meeting its emission targets in late 2020 cemented the need for global cooperative action to address the crisis.

Australia's response is polycentric: a locally decentralised governance structure but connected at the global level. Global public policy networks link Australians with multiple countries and stakeholders.

New values motivate Australia in 2050. Global solidarity and people connecting strongly with each other matters more. We have a commitment to sharing our resources. Ultimately we recognise that we are all in this together.

#### Tradeoffs

Collective goals and equity *at the expense of* personal wealth and consumption

#### Governance

- Polycentric governance- decentralized at local level, but connected at global level; global public plicy networks link Australians with multiple countries and stake holders
- · Three core principles of governance are: democracy, participation and constrained pluralism
- Decision making closest to where action is required

#### Economy

- stable low or no growth economy
- economic success is measured in terms of more comprehensive progress indicators, not GDP
- businesses have to seek social license to operate
- the market regulated to insure positive social and environmental outcomes

#### Community

- · Collective goals take precedence over personal goals; improved equity
- Population stabilizes
- Health and wellbeing addressed through community based solutions

#### Individual Well-being

- Improved work-life balance and meaningful employment for all
- Improved education levels

#### **Natural Environment**

- Targeted to meet lower emissions by 2100
- Biodiversity and ecosystems improve
- Energy requirements met through renewable energy sources
- Locally well integrated land use management

- Innovative technologies in the building sector; most buildings are net exporters of energy
- Closed loop recycling of materials
- Lower consumption of manufactured goods
- walking, cycling and well-designed and efficient mass transport are the most common modes of transport



GDP Growth no longer possible

### **Free Enterprise**

#### Scenario Drivers

To stimulate economic growth Australians reject increased government interference and adopt a more free-market approach.

The result is a highly individualistic society with opportunities for rapid expansion of material wealth for some based on technologies that can overcome energy and resource constraints. The increased privatisation of healthcare, education and social services result in a more individualistic and consumerist society with a growing divide between rich and poor. The environment degrades as environmental conservation and biodiversity protection are given low priority relative to economic growth.

#### Tradeoffs

Economic growth and wealth at the expense of equity and environmental quality

#### Governance

- Minimal national government; Local governments are reestablished as regional corporations
- Income and corporate tax reuced to flat 10%
- Privatisation of healthcare, education and other servcies

#### Economy

- · Strong economic growth enabled by new energy and resource extraction technologies
- Increased GDP growth rate and high individual incomes for some
- · Growth in research and development in fossil and renewable energies, specialist manufacturing and bio-prospecting
- · Overall agricultural sector changes from net exporter to net importer

#### Community

- Highly individualistic, consumerist society
- Increased labour migration and inequality in employment opportunities and pay
- Sense of security declines as inequity and unemployment increase

#### Individual Well-being

- · Work-life balance, leisure and family oriented activities decline
- · Healthcare, education and other services are less available to the lower and middle class

#### Natural Environment

- Environment degrades as conservation and biodiversity given low priority
- Rezoning of lands and land ownership liberalized for foreign investments
- Stable water security in the absence of agriculture driven economy

- Technology plays a critical role to maintain growth
- Urbanisation increases
- Boom in transport and construction industry
- Labour intensive manufacturing moves off-shore



## **Coordinated Action**

#### Scenario Drivers

Australia's response to the ongoing climate crisis is to restructure national government to take coordinated action at the national and global level. The UN is strengthened and presides over a substantial body of binding international laws including a global carbon tax system.

The world and Australia achieve relative climate stability and more equally distributed opportunities through effective global and regional cooperation and "green growth."

#### Tradeoffs

Strong international and domestic cooperation **at the expense of** individual and national autonomy

#### Governance

- Strong emerging global governance
- · Australian local and state goverments cede more power to the national government
- Democratisation of decision making through digitisation; participation in online consultation process for key policies is compulsory
- Global carbon and environmental tax system. Within Australia more progressive taxation;

#### Economy

- stable "green" economic growth
- Knowledge intensive and service based economy
- · Biotechnology, communications, health and tourism are the largest sectors
- Export growth of renewable technologies

#### Community

- Sense of security and stability due to government control and monitoring
- Emigration open for highly skilled migrants and refugees
- · Government prioritises and monitors economic utility of human capital

#### Individual Well-being

- · Improve access to education through tertiary.
- Improved government-funded health care system

#### **Natural Environment**

- · Central planning and market based incentives improve the environment
- Biodiversity and ecosystems improve
- Energy requirements met through renewable energy sources

- Innovative technologies in the agriculture sector
- Integrated transport network linking high-speed rails, busses and trams
- · Growth in foreign investments

### Public surveys and engagement

We intend to carry out public surveys and deliberative dialogues in focus groups around the scenarios we developed. This will allow a quantitative assessment of public preferences for the various aspects of each scenario. It will also help us understand how the public evaluates trade-offs between the scenarios and their respective attributes and limitations.

A purpose of the surveys will be to explore patterns of preferences among the general public for different scenarios, and to test what relationships between preferences, wellbeing and different kinds of personal goals and values can be inferred. In particular, we are interested in characterising any relationship between scenario preferences and participants' tendency towards intrinsic or extrinsic goals and values. Extrinsic values and goals have been shown to be more strongly associated with materialistic preferences for the future and this may be a major driver of scenario preferences (Hurst et al., 2013).

Values will also be abstracted from verbal statements of general preferences and goals during the deliberative dialogues in focus groups. One definition of values is "desirable, trans-situational goals, varying in importance, that serve as guiding principles in people's lives" (Schwartz, 2003). While values can be abstracted from any given context, they are inextricably linked to emotions and desires that influence behaviour. If a person highly values independence, then they are likely to be angry or despairing if their independence is threatened and happy when it is supported (Schwartz, 2003). In responding to a values questionnaire, we are essentially reporting on our affective (i.e. emotional) responses across a wide range of contexts. While affective responding is highly contextual (e.g. we might prefer affiliation in one context but not in another) one's subjective responding to a values questionnaire is the most accurate predictor of preferences in a general way.

Goals are more concrete than values. Our research will rely upon the wellvalidated "Aspiration Index" (Grouzet and Kasser, et al., 2005). There are a number of reasons why it is important to study the relationship between a wellvalidated measure of goals and preferences for different scenarios for the future. First, the goals measure provides a validation that people's preferences for different scenarios reflect general patterns of valuing rather than idiosyncratic features of the scenarios themselves. For example, our scenarios vary on the individualismcollectivism dimension. We would therefore expect those who favour the more individualistic scenarios to be more inclined to endorse self-enhancement rather than communitarian goals.

Second, including a goals measure allows us to generalise our findings. Goals appear to influence responses on a vast array of major issues such as environmental degradation, social inequity, racism, gender relations and human rights. It would be helpful to be able to relate the specific narratives of scenarios to more general patterns of intrinsic versus extrinsic goals.

Finally, and very importantly for the ongoing evolution of living scenarios, understanding the relationships between goals and scenarios will enable us to better understand how to tailor scenarios and communications so they are readily accessible to a population whose goals may vary widely. It is possible, for example, that similar visions for the future could be expressed in slightly different ways in order to better relate to people with different aspirations. In essence, we need to "meet

people where they are", rather than expecting them to adopt different goals.

- The survey will elicit several kinds of information:
  - 1. A simple ranking of scenarios from most to least preferred;
  - 2. Estimates of how 'satisfied' participants expect they would be in each scenario (intended to supplement the preference ranking by giving an estimate of strength of preference for each scenario);
- 3. Demographic questions (postcode, gender, voting intention, age, highest level of education, household income, country of origin, religious affiliation);
- 4. A shortened and adapted form of the well-validated measure of goals known as the Aspirations Index (Grouzet et al., 2005). This measure is relatively short and can be answered by people with relatively little education.
- 5. A short measure of wellbeing known as the flourishing scale (Diener et al., 2010).

A sample survey form is included in Appendix A. We intend to construct a website that explains the scenarios (and a paper version for those without internet access). After individuals have spent time understanding the scenarios, they will take the survey shown in Appendix A, either online or on paper.

### Discussion

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A key motivation for our work is the recognition that if we are to contribute to informed national conversations about Australia's future, scenarios developed in a one-shot exercise are of less value than those that are reviewed and revised iteratively with a broad audience drawn from diverse sectors and life experience. The work described in this paper represents an initial iteration.

The review of existing scenarios pointed to a lack of scenarios exploring "collapse" or "transformation" archetypes in Australian scenario work. We included aspects of these archetypes in two of our scenarios: "Strong Individualism", where limits have been reached and triggered an "everyone for themselves" response, and "Collective Action", where alternative egalitarian governance structures emerge to foster the common good (as opposed to more conventional government regulatory instruments). It is usual for "collapse" scenarios to be undesirable, but in this work we deliberately sought to build scenarios that could be attractive to different people. This is challenging for the collapse archetype.

The audience for these scenarios is not an academic audience. Rather, these scenarios have been developed in order to provide opportunities for a wider audience to react to the scenarios via an online survey. In this way, our work serves as a vehicle for bridging academic scenario development and non-academic conversations about our future. Importantly, it is not a one-way bridge and, in the spirit of 'living scenarios' the intention is to learn from survey responses to better inform future scenario development.

An implicit assumption in these scenarios is that human behaviour is a key determinant of future trajectories; we have not assumed that the fate of humanity lies in external events outside our influence. For this reason we'd like to ensure our work can be relevant to and informed by behavioural science. The survey has been designed so that we can learn about participants' intrinsic and extrinsic values and ascertain any relationship to scenario preferences. These personal attributes are important determinants of behaviour, and are also shaped by societal context. By making these aspects more visible via the survey results we are providing new insights into significant influences on possible futures and providing input to a broader and more informed conversation about the future.

### Conclusions

As a result of this project we have assembled and reviewed a comprehensive set of existing scenarios and identified attributes useful for future scenario development. The decision to partition the space of future possibilities according to an individualism/collectivism construct is common, however our choice of second dimension – societal response to limits – is more novel. In doing so we were able to accommodate both different views about limits (e.g. whether we must be contained by them or have options for expanding them) and responses to them (e.g. whether living within limits impeded economic growth or not). Developing scenarios for the purpose of contributing to an ongoing 'living scenarios' process means that the scenario content itself is less important than the process by which a wider audience will be invited to respond to them and contribute to ongoing scenario evolution. To this end, we have developed a framework for eliciting not only responses to scenarios, but a wider spectrum of demographic and values preferences from survey participants.

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# **Appendix A: Draft Public Opinion Survey Questions**

senanos ioi A	ustralia's Fi	iture				
Velcome to this surve and and explore the ot done that please <b>K1. Please rank</b> = least preferre	scenarios so that click here to return the following of future	you have a clean to the scenar	ar sense o rios. Thank	f which are your r you for being inv	nost and least prefe olved.	erred. If you hav
Strong Indi						
Community	-					
Coordinate	d Action					
<sup>k</sup> 2. If you imagi	ne yourself liv	ving in each	n of thes	e futures, ho	w happy would	you be:
	Very dissatisfied	dissatisfied	neither		Very satisfied	Unsure
Free Enterprise	с	с	c	с	с	c
Community Wellbeing	с	с	c	c	C	c
	c	C	C	c	c	с
Strong Individualism	(C)					
1	c	с	с	с	с	с
Strong Individualism Coordinated Action comment		c	c	c	c	C N
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Coordinated Action comment . Please completed entify you from that is your postcode? . What is your g	o te the followi	ng demogra				
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oordinated Action mment Please complet lentify you from hat is your postcode? What is your g Female Male Which major p Liberal Liberal What is your a	ete the followi this informat eender? arty would yo	ng demogra ion. u typically C National Part	aphic qu	estions. It wi	Il not be possib	
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Coordinated Action omment . Please comple dentify you from hat is your postcode? . What is your g C Female . Which major p C Liberal C Liberal C Labor . What is your a	ete the followi this informat eender? arty would yo	ng demogra ion. u typically C National Part	aphic qu vote for ty C 44	estions. It wi	Il not be possib	

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. Are you of Aborig	inal or Torre	s Strait Islan	der descent?		
C Yes					
C No					
. What is your app	roximate ave	erage housel	old income?		
C \$0-\$24,999			C \$125,000-\$149,999		
\$25,000-\$49,999			C \$150,000-\$174,999		
C \$50,000-\$74,999			G \$175,000-\$199,999	1	
\$75,000-\$99,999			C \$200,000 and up		
C \$100,000-\$124,999					
0. In which countr	rv were vou b	orn?			
C Australia	,,		O Viet Nam		
C England			C Scotland		
C New Zealand			C Greece		
C Italy Other (please specify)					6.4 <b>F</b>
C Italy Dther (please specify) 1. The questions b ach question, sele	ct a response		rations you may		
C Italy Dther (please specify) 1. The questions b ach question, sele	ct a response		rations you may tes how importa		u that the goal
C Italy Other (please specify)  1. The questions b ach question, sele e attained in the fu Reing known and admired	ct a response iture.		rations you may		
New Zealand     Italy     Italy     The questions b     acch question, sele     the attained in the fu Being known and admired     by many people Having close personal     relationships	ct a response iture. Not at all	e that indica	rations you may tes how importa Somewhat	nt it is to yo	A great deal
C Italy Cher (please specify) Cher (please s	ct a response iture. Not at all	e that indica	rations you may tes how importa Somewhat	nt it is to you	a <b>that the goal</b> A great deal C
C Italy Ditter (please specify) 1. The questions is the acc question, sele the attained in the full Being known and admired by many people Having close personal	Not at all	e that indicat	rations you may tes how importa Somewhat C	nt it is to you	A great deal
C Italy Ther (please specify)  1. The questions be ach question, sele te attained in the fu Being known and admired by many people laving close personal elationships Achieving affluence and inancial success Helping those who need	ct a response iture. Not at all	e that indicat	rations you may tes how importan Somewhat C	nt it is to you c c	a that the goal

#### Scenarios for Australia's Future<br>

12. The questions below again ask you about various goals or aspirations. For each question, select a response that indicates how much you actually work on that goal in your life. Regardless of how important you said the goals were, to what extent do you find yourself trying to make each goal occur?

	Not at all		Somewhat		A great deal
Projecting an appealing and attractive image	c	c	c	c	c
Helping those who need help	c	C	c	c	C
Achieving affluence and financial success	ſ	c	c	c	c
Having close personal relationships	с	o	c	o	0
Attaining self- understanding and personal growth	c	c	c	c	c
Being known and admired by many people	с	c	c	с	c

# 13. Below are 8 statements with which you may agree or disagree. Using the rating scale below, indicate your agreement with each item by indicating that response for each statement:

meaningful life         My social relationships are       C		Strongly agree	Agree	Slightly agree	Neither agree nor disagree	Slightly disagree	Disagree	Strongly disagree
supportive and rewarding I am engaged and I am engaged and I activities I activities I activities I activities I activities I am competent and C C C C C C C C C C C C C C C C C C C		с	c	c	c	с	C	c
Interested in my daily activities activities I actively contribute to the C C C C C C C C C C C C C C C C C C C		С	с	C	c	с	c	C
happiness and well-being of others I am competent and C C C C C C C are important to me I am a good person and live C C C C C C I am optimistic about my C C C C C C C future	nterested in my daily	c	c	c	c	c	c	c
capable in the activities that are important to me I am a good person and live OOOOOO a good life I am optimistic about my COCOOO future	happiness and well-being of		c	c	c	с	c	с
a good life I am optimistic about my C C C C C future	apable in the activities that	c	c	c	c	c	c	c
future		С	C	C	c	c	C	C
People respect me C C C C C C		c	c	c	c	c	c	c
	People respect me	c	o	c	c	C	C	c

Page 3

Journal of Futures Studies